

Process Review Panel for the SFC publishes annual report

The Process Review Panel (PRP) for the Securities and Futures Commission (SFC) today (October 22) published its annual report covering the work of the PRP in 2023-24.

The PRP Chairman, Mr Lawrence Lee, said, "In 2023-24, the PRP continued to review the completed or closed cases under different areas of the work of the SFC. The PRP concluded that the SFC has carried out the work in accordance with its internal procedures. It also made a number of observations and recommendations to the SFC, which the panel believes would help improve the SFC's procedures and guidelines."

"We are most appreciative of the SFC's co-operation and full support in facilitating the work of the PRP, and are pleased to note the positive response of the SFC to the PRP's recommendations," he added.

The annual report has been uploaded onto the website of the Financial Services and the Treasury Bureau (www.fstb.gov.hk/fsb/en/business/prp/afsreport.html).

The PRP welcomes views of the market participants and the public on the PRP's work. They may express their views by sending email to the PRP (prp@fstb.gov.hk).