

ESMA Newsletter – N°11

21 January

[ESMA publishes responses to joint consultation paper on PRIIPs KID](#)

ESMA has published the responses received to the Joint Consultation Paper concerning amendments to the PRIIPs KID.

17 January

[ESMA consults on the use of No Data options in securitisation reporting](#)

The European Securities and Markets Authority (ESMA), the EU's securities markets regulator, has published a Consultation Paper on Guidelines on securitisation repository data completeness and consistency thresholds. The consultation's objective is to help market participants and securitisation repositories to understand ESMA's expected maximum use of No Data options contained within a securitisation data submission.

13 January

[ESMA publishes responses to its consultation on MiFID II review report on position limits](#)

ESMA has published the responses received to its consultation on MiFID II review report on position limits and position management.

10 January

[ESMA report values EU Alternative Investment Funds at €5.8 trillion](#)

The European Securities and Markets Authority (ESMA) published its second statistical report on European Union (EU) Alternative Investment Funds (AIF). The study found that the EU AIF sector in 2018, as measured by Net Asset Value (NAV), amounted to €5.8tn or nearly 40% of the total EU fund industry. The report is based on data from 30,357 AIFs, or almost 100% of the market.

09 January

[MIFID II: ESMA ISSUES LATEST DOUBLE VOLUME CAP DATA](#)

The European Securities and Markets Authority (ESMA) has updated its public register with the latest set of double volume cap (DVC) data under the Markets in Financial Instruments Directive (MiFID II).

09 January

[ESMA announces key priorities for 2020-22](#)

The European Securities and Markets Authority (ESMA), the EU's securities markets regulator, has published its Strategic Orientation for 2020-22. The

Strategic Orientation sets out ESMA's future focus and objectives and reflects its expanded responsibilities and powers following the ESAs Review, and EMIR 2.2, which increases its focus on supervisory convergence, strengthens its role in building the Capital Markets Union (CMU) and gives it with more direct supervision responsibilities.

07 January

[ESMA clarifies rules for CCPs' membership criteria and due diligence](#)

The European Securities and Markets Authority (ESMA) has issued a report on a survey it conducted into membership arrangements and due diligence by central counterparties (CCPs) towards their clearing members.

07 January

[ESMA assesses EU financial market impact of circuit breakers](#)

The European Securities and Markets Regulator (ESMA), the EU's securities markets regulator, publishes a study on the market impacts of circuit breakers.

06 January

[ESMA clarifies SFTR reporting](#)

The European Securities and Markets Authority (ESMA) has published its final report, its Guidelines on reporting under the Securities Financing Transactions Regulation (SFTR), amended SFTR validation rules and a statement on Legal Entity Identifiers (LEI).

23 December

[ESMA extends recognition decisions for 3 UK CCPs in the event of a no-deal Brexit](#)

The European Securities and Markets Authority (ESMA) has announced that it has extended the recognition decisions for the three central counterparties (CCPs) established in the United Kingdom (UK) to reflect the extension of the expiry date of the Implementing Decision (EU) 2018/2031 of the European Commission on the equivalence of the UK CCP legal framework.

20 December

[ESMA: EU issuers need to improve their disclosure of alternative performance measures](#)

The European Securities and Markets Authority (ESMA) has published a report on European Union (EU) issuers' use of Alternative Performance Measures (APMs) and their compliance with ESMA's APM Guidelines.

20 December

[ESMA updates ISO 20022 XML schemas for SFTR Reporting](#)

The European Securities and Markets Authority (ESMA), the EU's securities markets regulator, has published updated ISO 20022 XML Schemas to be used for reporting under the Securities Financing Transactions Regulation (SFTR).

20 December

[ESMA provides updated XML schema and reporting instructions for securitisation reporting](#)

The European Securities and Markets Authority (ESMA), the EU's securities markets' regulator, has published updated reporting instructions and XML schema for the templates set out in the technical standards on disclosure requirements.

20 December

[ESMA updates ESEF XBRL taxonomy files to facilitate implementation of the ESEF regulation](#)

The European Securities and Markets Authority (ESMA), the EU securities markets regulator, has updated the ESEF XBRL taxonomy files to reflect the 2019 update of the ESEF Regulation.

20 December

[ESMA: further action needed on fees for credit ratings and Trade Repository services](#)

The European Securities and Markets Authority (ESMA) has published a Follow Up to the Thematic Report on fees charged by Credit Rating Agencies (CRAs) and Trade Repositories (TRs).